

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEW JERSEY**

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**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**v.**

**BRENDA SMITH, BROAD REACH  
CAPITAL, LP, BROAD REACH  
PARTNERS, LLC, and BRISTOL  
ADVISORS, LLC,**

**Defendants.**

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**C. A. No. 2:19-cv-17213 (MCA)**

**ORDER APPROVING THE RECEIVER, KEVIN D. KENT, ESQUIRE’S  
SECOND INTERIM FEE APPLICATION FOR THE PERIOD  
OCTOBER 1, 2020 THROUGH DECEMBER 31, 2020**

**THIS MATTER** having come before this Court upon the Motion of Receiver, Kevin D. Kent, Esquire, for Approval of Second Interim Fee Application for the Period October 1, 2020 through December 31, 2020;

It is on this \_\_\_\_\_ day of \_\_\_\_\_, 2021,

**ORDERED** that the Receiver’s Second Interim Fee Application is  
APPROVED; and it is

**FURTHER ORDERED** that Payment to Conrad O’Brien PC (“Law Firm”) in the amount of \$80,861.20, for services performed from October 1, 2020 through

December 31, 2020, representing eighty percent (80%) of the Law Firm's total fee of \$101,076.50 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Alvarez & Marsal Disputes and Investigations, LLC ("Accountant") in the amount of \$124,782.00 for services performed from October 1, 2020 through December 31, 2020, representing eighty percent (80%) of the Accountant's total fee of \$155,977.50 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Conrad O'Brien PC in the amount of \$353.26 for expenses incurred from October 1, 2020 through December 31, 2020, representing eighty percent (80%) of the Firm's total expenses of \$441.58 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Alvarez & Marsal Disputes and Investigations, LLC in the amount of \$136.00 for expenses incurred from October 1, 2020 through December 31, 2020, representing eighty percent (80%) of the Accountant's total expenses of \$170.00 for this quarter, is hereby APPROVED and may be paid by the Receiver at this time.

**BY THE COURT:**

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HONORABLE MADELINE COX ARLEO  
UNITED STATES DISTRICT JUDGE